

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s) : Wu et al.

Serial No. : 10/700,201

Cnfrm. No. : 4192

Filed : November 3, 2003

For : TPS PLANT GENE CONSTRUCTS AND TRANSFORMANTS

Examiner:
To Be Assigned

Art Unit:
1632

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form. The references listed on the accompanying PTO-1449 form were first cited in an International Search Report (copy enclosed) for the corresponding PCT application. U.S. Patent No. 6,130,368 to Londesborough, which was cited in the attached search report, is not listed on the accompanying PTO-1449 form, because it is already of record.

Respectfully submitted,

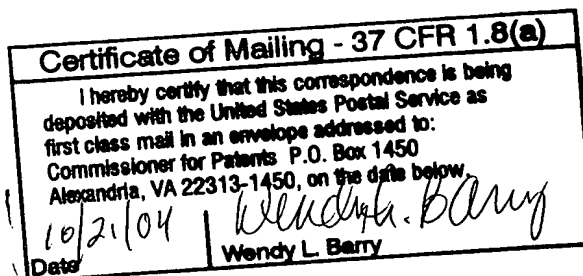
Date: October 21, 2004

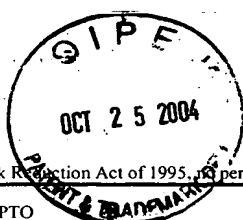
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/700,201	
			Filing Date	November 3, 2003	
			First Named Inventor	Wu et al.	
			Art Unit	1632	
			Examiner Name	To Be Assigned	
Sheet		of		Attorney Docket Number	19603/4301 (CRF D-3082-03)

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-6,133,034	10/17/2000	Strom et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	2	WO 99/46370 A2	09/16/1999	WIPO		
	3	WO 01/64850 A1	09/07/2001	WIPO		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	4	Su et al., “Dehydration-Stress-Regulated Transgene Expression in Stably Transformed Rice Plants,” <i>Plant Physiology</i> 117(3):913-922 (1998)		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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